

General information about company	
Scrip code	512399
NSE Symbol	NA
MSEI Symbol	NA
ISIN	INE017I01027
Name of the company	Sera Investments & Finance India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/ No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0.01
As on the end of previous 1st quarter	100	0.01
As on the end of previous 2nd quarter	100	2
As on the end of previous 3rd quarter	100	0.2
As on the end of previous 4th quarter	100	1

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
							Class eg: X	Class eg:y	Total		
(A)	Promoter & Promoter Group	7	34999965			34999965	70	34999965		34999965	70
(B)	Public	9954	15000035			15000035	30	15000035		15000035	30
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	9961	50000000			50000000	100	50000000		50000000	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				70	10000000	28.57			34999965			
(B)	Public				30					14978135	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	10000000	20			49978100	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/ Hindu undivided Family	5	15193075			15193075	30.39	15193075		15193075	30.39
(d)	Any Other (specify)	2	19806890			19806890	39.61	19806890		19806890	39.61
Sub-Total (A)(1)		7	34999965			34999965	70	34999965		34999965	70
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	34999965			34999965	70	34999965		34999965	70
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	9825	7794664			7794664	15.59	7794664		7794664	15.59
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	10	2735624			2735624	5.47	2735624		2735624	5.47
(i)	Non Resident Indians (NRIs)	14	24430			24430	0.05	24430		24430	0.05
(l)	Bodies Corporate	14	4305975			4305975	8.61	4305975		4305975	8.61
(m)	Any Other (specify)	91	139342			139342	0.28	139342		139342	0.28
Sub-Total (B)(4)		9954	15000035			15000035	30	15000035		15000035	30
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		9954	15000035			15000035	30	15000035		15000035	30
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		9961	50000000			50000000	100	50000000		50000000	100
Total (A+B+C)		9961	50000000			50000000	100	50000000		50000000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group													
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)			Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	Sub-category (i)		Sub-category (ii)	Sub-category (iii)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(a)				30.39	6000000	39.49				15193075			
(d)				39.61	4000000	20.19				19806890			
Sub-Total (A) (1)				70	10000000	28.57				34999965			
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				70	10000000	28.57				34999965			
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(2)	Institutions (Foreign)												
(3)	Central Government / State Government(s)												
(4)	Non-institutions												
(g)				15.59						7772764	0	0	0
(h)				5.47						2735624	0	0	0
(i)				0.05						24430	0	0	0
(l)				8.61						4305975	0	0	0
(m)				0.28						139342	0	0	0
Sub-Total (B) (4)				30						14978135	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				30						14978135	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
Total (A+B+C2)				100	10000000	20				49978100			
Total (A+B+C)				100	10000000	20				49978100			

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	SHWETA SAMIRBHAI SHAH	MAHENDRABHAI GULABDAS PATEL	MUNJAL MAHENDRABHAI PATEL	SAGAR SAMIR SHAH	SAMIR ROHITBHAI SHAH	Click here to go back
PAN (II)	AQFPS5288K	ABIPP9463H	AFXPP7705F	CKLPS2759H	AQCPS6044E	Total
No. of fully paid up equity shares held (IV)	5124375	1856175	3712525	2250000	2250000	15193075
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5124375	1856175	3712525	2250000	2250000	15193075
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.25	3.71	7.43	4.5	4.5	30.39
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	5124375	1856175	3712525	2250000	2250000	15193075
Class eg:y						
Total	5124375	1856175	3712525	2250000	2250000	15193075
Total as a % of Total Voting rights	10.25	3.71	7.43	4.5	4.5	30.39
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	10.25	3.71	7.43	4.5	4.5	30.39
Number of Locked in shares (XII)						
No. (a)	1500000	0	0	2250000	2250000	6000000
As a % of total Shares held (b)	29.27	0	0	100	100	39.49
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	5124375	1856175	3712525	2250000	2250000	15193075
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter Group	Promoter Group	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SWETSAM STOCK HOLDING PRIVATE LIMITED	SERAPHIM VENTURES PRIVATE LIMITED	
PAN (II)	AARCS7025H	ABECS1065M	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	9681890	10125000	19806890
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9681890	10125000	19806890
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.36	20.25	39.61
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	9681890	10125000	19806890
Class eg:y			
Total	9681890	10125000	19806890
Total as a % of Total Voting rights	19.36	20.25	39.61
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	19.36	20.25	39.61
Number of Locked in shares (XII)			
No. (a)	0	4000000	4000000
As a % of total Shares held (b)	0	39.51	20.19
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	9681890	10125000	19806890
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Searial No.	1	
Name of the Shareholders (I)	URVISH VORA	Click here to go back
PAN (II)	ADAPV6219R	Total
No. of fully paid up equity shares held (IV)	602500	602500
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	602500	602500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.21	1.21
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	602500	602500
Class eg:y		
Total	602500	602500
Total as a % of Total Voting rights	1.21	1.21
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.21	1.21
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	602500	602500
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)				
Serial No.	1	2	3	
Category	Clearing Members	HUF	LLP	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	1	88	2	91
No. of fully paid up equity shares held (IV)	10951	128366	25	139342
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	10951	128366	25	139342
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.26	0	0.28
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	10951	128366	25	139342
Class eg:y				
Total	10951	128366	25	139342
Total as a % of Total Voting rights	0.02	0.26	0	0.28
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.02	0.26	0	0.28
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	10951	128366	25	139342
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

significant beneficial owners															
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO the reporting company, whether direct or indir				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exer of signi influ	
1	Sagar Samir Shah	CKLPS2759H		India		Seraphim Ventures Private Limited	ABECS1065M		India		20.25	20.25		No	No
Total:											20.25	20.25	0		
2	Samir Rohitbhai Shah	AARCS7025H		India		Swetsam Stock Holding Private Limited	AARCS7025H		India		19.36	19.36		No	No
Total:											19.36	19.36	0		
3	Pallavi Urvish Vora	ABOPV6838Q		India		Paru Securities Private Limited	AACCP3336R		India		3.5	3.5		No	No
Total:											3.5	3.5	0		
4	Urvish Rameshchandra Vora and Pallavi Urvish Vora	ADAPV6219R		India		Bakshu Trading Private Limited	AACCB9116B		India		3.5	3.5		No	No
Total:											3.5	3.5	0		

