

General information about company	
Scrip code	512399
NSE Symbol	NA
MSEI Symbol	NA
ISIN	INE017101027
Name of the company	Sera Investments & Finance India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	08-03-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	02-03-2024
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/ No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	Yes	No	Yes	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0
As on the end of previous 1st quarter	100	0.01
As on the end of previous 2nd quarter	100	0.01
As on the end of previous 3rd quarter	100	0
As on the end of previous 4th quarter	100	0.01

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	9	41999965			41999965	64.62	41999965		41999965	64.62
(B)	Public	9072	23000035			23000035	35.38	23000035		23000035	35.38
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	9081	65000000			65000000	100	65000000		65000000	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				60	13000000	30.95			41999965			
(B)	Public		5000000	5000000	40	8000000	34.78			22978135	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total		5000000	5000000	100	21000000	32.31			64978100	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/ Hindu undivided Family	7	22193075			22193075	34.14	22193075		22193075	34.14
(d)	Any Other (specify)	2	19806890			19806890	30.47	19806890		19806890	30.47
Sub-Total (A)(1)		9	41999965			41999965	64.62	41999965		41999965	64.62
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		9	41999965			41999965	64.62	41999965		41999965	64.62
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	8919	6877393			6877393	10.58	6877393		6877393	10.58
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	21	11559839			11559839	17.78	11559839		11559839	17.78
(i)	Non Resident Indians (NRIs)	13	19571			19571	0.03	19571		19571	0.03
(l)	Bodies Corporate	17	2576447			2576447	3.96	2576447		2576447	3.96
(m)	Any Other (specify)	102	1966785			1966785	3.03	1966785		1966785	3.03
Sub-Total (B)(4)		9072	23000035			23000035	35.38	23000035		23000035	35.38
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		9072	23000035			23000035	35.38	23000035		23000035	35.38
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		9081	65000000			65000000	100	65000000		65000000	100
Total (A+B+C)		9081	65000000			65000000	100	65000000		65000000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				31.7	13000000	58.58			22193075			
(d)				28.3	0	0			19806890			
Sub-Total (A)(1)				60	13000000	30.95			41999965			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				60	13000000	30.95			41999965			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)		0	0	9.82	0	0			6855493	0	0	0
(h)		0	0	16.51	7270000	62.89			11559839	0	0	0
(i)		0	0	0.03	0	0			19571	0	0	0
(l)		5000000	5000000	10.82	0	0			2576447	0	0	0
(m)		0	0	2.81	730000	37.12			1966785	0	0	0
Sub-Total (B) (4)		5000000	5000000	40	8000000	34.78			22978135	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		5000000	5000000	40	8000000	34.78			22978135	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)		5000000	5000000	100	21000000	32.31			64978100			
Total (A+B+C)		5000000	5000000	100	21000000	32.31			64978100			
Disclosure of notes on shareholding pattern							Textual Information(1)					

Text Block	
Textual Information()	1. In SBO, as there is option to mention the PAN of one SBO, hence we have mentioned the PAN of Mr. Urvish Rameshchandra Vora to avoid technical error while validation.

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	SHWETA SAMIRBHAI SHAH	MAHENDRABHAI GULABDAS PATEL	MUNJAL MAHENDRABHAI PATEL	SAGAR SAMIR SHAH	SAMIR ROHITBHAI SHAH	AMOLI SAMIR SHAH	VIRAJ VARUN SHETH
PAN (II)	AQFPS5288K	ABIPP9463H	AFXPP7705F	CKLPS2759H	AQCPS6044E	FRIPS7518M	EVOPS9353B
No. of fully paid up equity shares held (IV)	5124375	1856175	3712525	2250000	2250000	3500000	3500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5124375	1856175	3712525	2250000	2250000	3500000	3500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.88	2.86	5.71	3.46	3.46	5.38	5.38
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	5124375	1856175	3712525	2250000	2250000	3500000	3500000
Class eg:y							
Total	5124375	1856175	3712525	2250000	2250000	3500000	3500000
Total as a % of Total Voting rights	7.88	2.86	5.71	3.46	3.46	5.38	5.38
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	7.32	2.65	5.3	3.21	3.21	5	5
Number of Locked in shares (XII)							
No. (a)	1500000	0	0	2250000	2250000	3500000	3500000
As a % of total Shares held (b)	29.27	0	0	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	5124375	1856175	3712525	2250000	2250000	3500000	3500000
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	22193075
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	22193075
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	34.14
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	22193075
Class eg:y	
Total	22193075
Total as a % of Total Voting rights	34.14
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	31.7
Number of Locked in shares (XII)	
No. (a)	13000000
As a % of total Shares held (b)	58.58
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	22193075
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SWETSAM STOCK HOLDING PRIVATE LIMITED	SERAPHIM VENTURES PRIVATE LIMITED	
PAN (II)	AARCS7025H	ABECS1065M	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	9681890	10125000	19806890
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9681890	10125000	19806890
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.9	15.58	30.47
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	9681890	10125000	19806890
Class eg:y			
Total	9681890	10125000	19806890
Total as a % of Total Voting rights	14.9	15.58	30.47
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	13.83	14.46	28.3
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	9681890	10125000	19806890
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Bodies Corporate		
Serial No.	1	
Name of the Shareholders (I)	BAKSHU TRADING PRIVATE LIMITED	Click here to go back
PAN (II)	AACCB9116B	Total
No. of fully paid up equity shares held (IV)	1750000	1750000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1750000	1750000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.69	2.69
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1750000	1750000
Class eg: y		
Total	1750000	1750000
Total as a % of Total Voting rights	2.69	2.69
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.5	2.5
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	1750000	1750000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	MOKSHA ROMIT SHAH	RONIT C SHAH	ROMIT C SHAH	JIGNASA APURVA KHANDHAR	RUPABEN RAJENDRAKUMAR VADODARIA	RAJENDRA MAHENDRAKUMAR VADODARIA	PURVA DRONA SHAH
PAN (II)	GRGPS1986G	CMHPS9711F	DQBPS3072Q	AARPK3262B	ABAPV0907E	ABOPV2534R	BIOPS5034B
No. of fully paid up equity shares held (IV)	730000	725000	725000	1642586	760000	710000	725000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	730000	725000	725000	1642586	760000	710000	725000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.12	1.12	1.12	2.53	1.17	1.09	1.12
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	730000	725000	725000	1642586	760000	710000	725000
Class eg:y							
Total	730000	725000	725000	1642586	760000	710000	725000
Total as a % of Total Voting rights	1.12	1.12	1.12	2.53	1.17	1.09	1.12
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.04	1.04	1.04	2.35	1.09	1.01	1.04
Number of Locked in shares (XII)							
No. (a)	730000	725000	725000	0	760000	710000	725000
As a % of total Shares held (b)	100	100	100	0	100	100	100
Number of equity shares held in dematerialized form (XIV)	730000	725000	725000	1642586	760000	710000	725000
Reason for not providing PAN							
Reason for not providing PAN							
Sub-categorization of shares							
Sub-category (i)	0	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.					
Serial No.	8	9	10	11	
Name of the Shareholders (I)	MUKESHKUMAR KANTILAL CHAUDHARI	DEEPA MUKESHKUMAR CHAUDHRY	DRONA MUKESH CHAUDHARY	RIYA RONIT SHAH	Click here to go back
PAN (II)	AAVPC0827E	ABDPC6880G	AIOPC2832Q	GDTPS4191E	Total
No. of fully paid up equity shares held (IV)	725000	720000	730000	720000	8912586
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	725000	720000	730000	720000	8912586
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.12	1.11	1.12	1.11	13.71
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	725000	720000	730000	720000	8912586
Class eg:y					
Total	725000	720000	730000	720000	8912586
Total as a % of Total Voting rights	1.12	1.11	1.12	1.11	13.71
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.04	1.03	1.04	1.03	12.73
Number of Locked in shares (XII)					
No. (a)	725000	720000	730000	720000	7270000
As a % of total Shares held (b)	100	100	100	100	81.57
Number of equity shares held in dematerialized form (XIV)	725000	720000	730000	720000	8912586
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Clearing Members	HUF	HUF	LLP	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)			RAJENDRAKUMAR M. VADODARIA HUF		Click here to go back
PAN (II)			AAJHR3350M		Total
No. of the Shareholders (I)	5	94	1	3	102
No. of fully paid up equity shares held (IV)	341035	895341	730000	730409	1966785
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	341035	895341	730000	730409	1966785
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.52	1.38	1.12	1.12	3.02
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	341035	895341	730000	730409	1966785
Class eg:y					
Total	341035	895341	730000	730409	1966785
Total as a % of Total Voting rights	0.52	1.38	1.12	1.12	3.02
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.49	1.28	1.04	1.04	2.81
Number of Locked in shares (XII)					
No. (a)	0	0	730000	0	0
As a % of total Shares held (b)	0	0	100	0	0
Number of equity shares held in dematerialized form (XIV)	341035	895341	730000	730409	1966785
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

significant beneficial owners														
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the reporting company, whether direct or			
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control
1	SAGAR SAMIR SHAH	CKLPS2759H		India		SERAPHIM VENTURES PRIVATE LIMITED	ABECS1065M		India		20.25	20.25		No
Total:											20.25	20.25	0	
2	SAMIR ROHITBHAI SHAH	AARCS7025H		India		SWETSAM STOCK HOLDING PRIVATE LIMITED	AARCS7025H		India		19.36	19.36		No
Total:											19.36	19.36	0	
3	URVISH RAMESHCHANDRA VORA AND PALLAVI URVISH VORA	ADAPV6219R		India		BAKSHU TRADING PRIVATE LIMITED	AACCB9116B		India		3.5	3.5		No
Total:											3.5	3.5	0	

