

General information about company	
Scrip code	512399
NSE Symbol	
MSEI Symbol	
ISIN	INE017101019
Name of the company	SERA INVESTMENTS & FINANCE INDIA LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/ No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	7	6999793			6999793	70	6999793		6999793	70
(B)	Public	774	3000207			3000207	30	3000207		3000207	30
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	781	10000000			10000000	100	10000000		10000000	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				70	2225000	31.79			6999793			
(B)	Public				30					2995577	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	2225000	22.25			9995370	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg: Y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	3038615			3038615	30.39	3038615		3038615	30.39
(d)	Any Other (specify)	2	3961178			3961178	39.61	3961178		3961178	39.61
Sub-Total (A)(1)		7	6999793			6999793	70	6999793		6999793	70
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	6999793			6999793	70	6999793		6999793	70
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	727	194964			194964	1.95	194964		194964	1.95
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	17	1968280			1968280	19.68	1968280		1968280	19.68
(i)	Non Resident Indians (NRIs)	1	301			301	0	301		301	0
(l)	Bodies Corporate	6	711229			711229	7.11	711229		711229	7.11
(m)	Any Other (specify)	23	125433			125433	1.25	125433		125433	1.25
Sub-Total (B)(4)		774	3000207			3000207	30	3000207		3000207	30
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		774	3000207			3000207	30	3000207		3000207	30
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		781	10000000			10000000	100	10000000		10000000	100
Total (A+B+C)		781	10000000			10000000	100	10000000		10000000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				30.39	1200000	39.49			3038615			
(d)				39.61	1025000	25.88			3961178			
Sub-Total (A) (1)				70	2225000	31.79			6999793			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				70	2225000	31.79			6999793			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)				1.95					190334	0	0	0
(h)				19.68					1968280	0	0	0
(i)				0					301	0	0	0
(l)				7.11					711229	0	0	0
(m)				1.25					125433	0	0	0
Sub-Total (B) (4)				30					2995577	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				30					2995577	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100	2225000	22.25			9995370			
Total (A+B+C)				100	2225000	22.25			9995370			

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	SAMIR ROHITBHAI SHAH	MAHENDRABHAI GULABDAS PATEL	MUNJAL MAHENDRABHAI PATEL	SAGAR SAMIR SHAH	SHWETA SAMIRBHAI SHAH	Click here to go back
PAN (II)	AQCPS6044E	ABIPP9463H	AFXPP7705F	CKLPS2759H	AQFPS5288K	Total
No. of fully paid up equity shares held (IV)	450000	371235	742505	450000	1024875	3038615
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	450000	371235	742505	450000	1024875	3038615
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.5	3.71	7.43	4.5	10.25	30.39
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	450000	371235	742505	450000	1024875	3038615
Class eg:y						
Total	450000	371235	742505	450000	1024875	3038615
Total as a % of Total Voting rights	4.5	3.71	7.43	4.5	10.25	30.39
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	4.5	3.71	7.43	4.5	10.25	30.39
Number of Locked in shares (XII)						
No. (a)	450000	0	0	450000	300000	1200000
As a % of total Shares held (b)	100	0	0	100	29.27	39.49
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	450000	371235	742505	450000	1024875	3038615
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter	Promoter	Promoter Group	Promoter	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SWETSAM STOCK HOLDING PRIVATE LIMITED	SERAPHIIM VENTURES PRIVATE LIMITED	
PAN (II)	AARCS7025H	ABECS1065M	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	1936178	2025000	3961178
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1936178	2025000	3961178
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.36	20.25	39.61
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1936178	2025000	3961178
Class eg:y			
Total	1936178	2025000	3961178
Total as a % of Total Voting rights	19.36	20.25	39.61
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	19.36	20.25	39.61
Number of Locked in shares (XII)			
No. (a)	0	1025000	1025000
As a % of total Shares held (b)	0	50.62	25.88
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1936178	2025000	3961178
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Bodies Corporate			
Serial No.	1	2	
Name of the Shareholders (I)	PARU SECURITIES PRIVATE LIMITED	BAKSHU TRADING PRIVATE LIMITED	Click here to go back
PAN (II)	AACCP3336R	AACCB9116B	Total
No. of fully paid up equity shares held (IV)	350000	350000	700000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	350000	350000	700000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.5	3.5	7
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	350000	350000	700000
Class eg:y			
Total	350000	350000	700000
Total as a % of Total Voting rights	3.5	3.5	7
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.5	3.5	7
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	350000	350000	700000
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	ROMIL BHARATBHAI PATEL	DIPAKKUMAR CHIMANLAL SHAH	SANKET DEEPAK SHAH	URVISH VORA	PALLAVI URVISH VORA	PIYUSHBHAI NATVARLAL PATEL
PAN (II)	CDTPP4441A	ACBPS9050P	CMZPS2277Q	ADAPV6219R	ABOPV6838Q	ADTPP3881C
No. of fully paid up equity shares held (IV)	187331	100243	350000	350000	350000	179185
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	187331	100243	350000	350000	350000	179185
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.87	1	3.5	3.5	3.5	1.79
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	187331	100243	350000	350000	350000	179185
Class eg: y						
Total	187331	100243	350000	350000	350000	179185
Total as a % of Total Voting rights	1.87	1	3.5	3.5	3.5	1.79
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.87	1	3.5	3.5	3.5	1.79
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	187331	100243	350000	350000	350000	179185
Reason for not providing PAN						
Reason for not providing PAN						
Sub-categorization of shares						
Sub-category (i)	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1516759
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1516759
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.17
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1516759
Class eg:y	
Total	1516759
Total as a % of Total Voting rights	15.17
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	15.17
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	1516759
Reason for not providing PAN	
Reason for not providing PAN	
Sub-categorization of shares	
Shareholding (No. of shares) under	
Sub-category (i)	0
Sub-category (ii)	0
Sub-category (iii)	0

Any Other (specify)		
Serial No.	1	
Category	HUF	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	23	23
No. of fully paid up equity shares held (IV)	125433	125433
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	125433	125433
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.25	1.25
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	125433	125433
Class eg:y		
Total	125433	125433
Total as a % of Total Voting rights	1.25	1.25
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.25	1.25
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	125433	125433
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

significant beneficial owners														
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of th the reporting company, whether direct or			
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control
1	SAGAR SAMIR SHAH	CKLPS2759H		India		SERAPHIM VENTURES PRIVATE LIMITED	ABECS1065M		India		20.25			Yes
Total:											20.25	0	0	
2	SAMIR ROHITBHAI SHAH	AQCP6044E		India		SWETSAM STOCK HOLDING PRIVATE LIMITED	AARCS7025H		India		14.5			Yes
Total:											14.5	0	0	
3	PALLAVI URVISH VORA	ABOPV6838Q		India		PARU SECURITIES PRIVATE LIMITED	AACCP3336R		India		3.5			No
Total:											3.5	0	0	
4	URVISH RAMESHCHANDRA VORA	ADAPV6219R		India		BAKSHU TRADING PRIVATE LIMITED	AACCB9116B		India		3.5			No
Total:											3.5	0	0	
5	PALLAVI URVISH VORA	ABOPV6838Q		India		BAKSHU TRADING PRIVATE LIMITED	AACCB9116B		India		3.5			No
Total:											10.5	0	0	

