

General information about company	
Scrip code	512399
NSE Symbol	
MSEI Symbol	
ISIN	INE017I01019
Name of the company	Sera Investments & Finance India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/ No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0
As on the end of previous 1st quarter	100	0
As on the end of previous 2nd quarter	100	0
As on the end of previous 3rd quarter	100	0
As on the end of previous 4th quarter	100	0

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	6513615			6513615	65.14	6513615		6513615	65.14
(B)	Public	871	3486385			3486385	34.86	3486385		3486385	34.86
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	878	10000000			10000000	100	10000000		10000000	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				65.14	4675000	71.77			6513615			
(B)	Public				34.86	1750000	50.2			3481755	0	0	0
(C)	Non Promoter- Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	6425000	64.25			9995370	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	3038615			3038615	30.39	3038615		3038615	30.39
(d)	Any Other (specify)	2	3475000			3475000	34.75	3475000		3475000	34.75
Sub-Total (A)(1)		7	6513615			6513615	65.14	6513615		6513615	65.14
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	6513615			6513615	65.14	6513615		6513615	65.14
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	815	289699			289699	2.9	289699		289699	2.9
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	14	2311232			2311232	23.11	2311232		2311232	23.11
(i)	Non Resident Indians (NRIs)	1	1			1	0	1		1	0
(l)	Bodies Corporate	6	708040			708040	7.08	708040		708040	7.08
(m)	Any Other (specify)	35	177413			177413	1.77	177413		177413	1.77
Sub-Total (B)(4)		871	3486385			3486385	34.86	3486385		3486385	34.86
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		871	3486385			3486385	34.86	3486385		3486385	34.86
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		878	10000000			10000000	100	10000000		10000000	100
Total (A+B+C)		878	10000000			10000000	100	10000000		10000000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				30.39	1200000	39.49			3038615			
(d)				34.75	3475000	100			3475000			
Sub-Total (A) (1)				65.14	4675000	71.77			6513615			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				65.14	4675000	71.77			6513615			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)				2.9	0	0			285069	0	0	0
(h)				23.11	1050000	45.43			2311232	0	0	0
(i)				0	0	0			1	0	0	0
(l)				7.08	700000	98.86			708040	0	0	0
(m)				1.77	0	0			177413	0	0	0
Sub-Total (B) (4)				34.86	1750000	50.2			3481755	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				34.86	1750000	50.2			3481755	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C)				100	6425000	64.25			9995370			
Total (A+B+C)				100	6425000	64.25			9995370			

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	SAMIR ROHITBHAI SHAH	MAHENDRABHAI GULABDAS PATEL	MUNJAL MAHENDRABHAI PATEL	SAGAR SAMIR SHAH	SHWETA SAMIRBHAI SHAH	Click here to go back
PAN (II)	AQCPS6044E	ABIPP9463H	AFXPP7705F	CKLPS2759H	AQFPS5288K	Total
No. of fully paid up equity shares held (IV)	450000	371235	742505	450000	1024875	3038615
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	450000	371235	742505	450000	1024875	3038615
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.5	3.71	7.43	4.5	10.25	30.39
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	450000	371235	742505	450000	1024875	3038615
Class eg:y						
Total	450000	371235	742505	450000	1024875	3038615
Total as a % of Total Voting rights	4.5	3.71	7.43	4.5	10.25	30.39
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	4.5	3.71	7.43	4.5	10.25	30.39
Number of Locked in shares (XII)						
No. (a)	450000	0	0	450000	300000	1200000
As a % of total Shares held (b)	100	0	0	100	29.27	39.49
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	450000	371235	742505	450000	1024875	3038615
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter	Promoter	Promoter Group	Promoter	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SERAPHIM VENTURES PRIVATE LIMITED	SWETSAM STOCK HOLDING PRIVATE LIMITED	
PAN (II)	ABECS1065M	AARCS7025H	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	2025000	1450000	3475000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2025000	1450000	3475000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.25	14.5	34.75
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	2025000	1450000	3475000
Class eg:y			
Total	2025000	1450000	3475000
Total as a % of Total Voting rights	20.25	14.5	34.75
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	20.25	14.5	34.75
Number of Locked in shares (XII)			
No. (a)	2025000	1450000	3475000
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	2025000	1450000	3475000
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Bodies Corporate			
Serial No.	1	2	
Name of the Shareholders (I)	PARU SECURITIES PRIVATE LIMITED	BAKSHU TRADING PRIVATE LIMITED	Click here to go back
PAN (II)	AACCP3336R	AACCB9116B	Total
No. of fully paid up equity shares held (IV)	350000	350000	700000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	350000	350000	700000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.5	3.5	7
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	350000	350000	700000
Class eg:y			
Total	350000	350000	700000
Total as a % of Total Voting rights	3.5	3.5	7
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.5	3.5	7
Number of Locked in shares (XII)			
No. (a)	350000	350000	700000
As a % of total Shares held (b)	100	100	100
Number of equity shares held in dematerialized form (XIV)	350000	350000	700000
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	ROMIL BHARATBHAI PATEL	DIPAKKUMAR CHIMANLAL SHAH	SANKET DEEPAK SHAH	PREMLATA RAMESH SARAOGI	URVISH VORA	PALLAVI URVISH VORA
PAN (II)	CDTPP4441A	ACBPS9050P	CMZPS2277Q	ASMPS2400E	ADAPV6219R	ABOPV6838Q
No. of fully paid up equity shares held (IV)	186070	101905	350000	700000	350000	350000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	186070	101905	350000	700000	350000	350000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.86	1.02	3.5	7	3.5	3.5
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	186070	101905	350000	700000	350000	350000
Class eg:y						
Total	186070	101905	350000	700000	350000	350000
Total as a % of Total Voting rights	1.86	1.02	3.5	7	3.5	3.5
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.86	1.02	3.5	7	3.5	3.5
Number of Locked in shares (XII)						
No. (a)	0	0	350000	0	350000	350000
As a % of total Shares held (b)	0	0	100	0	100	100
Number of equity shares held in dematerialized form (XIV)	186070	101905	350000	700000	350000	350000
Reason for not providing PAN						
Reason for not providing PAN						
Sub-categorization of shares						
Sub-category (i)	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	2037975
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2037975
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.38
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	2037975
Class eg:y	
Total	2037975
Total as a % of Total Voting rights	20.38
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	20.38
Number of Locked in shares (XII)	
No. (a)	1050000
As a % of total Shares held (b)	51.52
Number of equity shares held in dematerialized form (XIV)	2037975
Reason for not providing PAN	
Reason for not providing PAN	
Sub-categorization of shares	
Shareholding (No. of shares) under	
Sub-category (i)	0
Sub-category (ii)	0
Sub-category (iii)	0

Any Other (specify)			
Serial No.	1	2	
Category	HUF	Clearing Members	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	30	5	35
No. of fully paid up equity shares held (IV)	177273	140	177413
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	177273	140	177413
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.77	0	1.77
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	177273	140	177413
Class eg:y			
Total	177273	140	177413
Total as a % of Total Voting rights	1.77	0	1.77
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.77	0	1.77
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	177273	140	177413
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

significant beneficial owners														
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of th the reporting company, whether direct or			
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control
1	SAGAR SAMIR SHAH	CKLPS2759H		India		SERAPHIM VENTURES PRIVATE LIMITED	ABECS1065M		India		20.25			Yes
Total:											20.25	0	0	
2	SAMIR ROHITBHAI SHAH	AQCPS6044E		India		SWETSAM STOCK HOLDING PRIVATE LIMITED	AARCS7025H		India		14.5			Yes
Total:											14.5	0	0	
3	PALLAVI URVISH VORA	ABOPV6838Q		India		PARU SECURITIES PRIVATE LIMITED	AACCP3336R		India		3.5			No
Total:											3.5	0	0	
4	URVISH RAMESHCHANDRA VORA	ADAPV6219R		India		BAKSHU TRADING PRIVATE LIMITED	AACCB9116B		India		3.5			No
Total:											3.5	0	0	
5	PALLAVI URVISH VORA	ABOPV6838Q		India		BAKSHU TRADING PRIVATE LIMITED	AACCB9116B		India		3.5			No
Total:											10.5	0	0	

