

<b>General information about company</b>	
Scrip code	512399
NSE Symbol	
MSEI Symbol	
ISIN	INE017I01019
Name of the company	SERA INVESTMENTS & FINACE INDIA LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

<b>Declaration</b>					
Sr. No.	Particular	Yes/ No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	6513615			6513615	65.14	6513615		6513615	65.14
(B)	Public	1181	3486385			3486385	34.86	3486385	0	3486385	34.86
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1188	10000000			10000000	100	10000000	0	10000000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				65.14	4675000	71.77			6513615
(B)	Public	0	0	0	34.86	1750000	50.2			3481755
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	0	0	0	100	6425000	64.25			9995370

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/ Hindu undivided Family	5	3038615			3038615	30.39	3038615	3038615	30.39	
(d)	Any Other (specify)	2	3475000			3475000	34.75	3475000	3475000	34.75	
Sub-Total (A) (1)		7	6513615			6513615	65.14	6513615	6513615	65.14	
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	6513615			6513615	65.14	6513615	6513615	65.14	
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1114	309240			309240	3.09	309240	0	309240	3.09
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	2267960			2267960	22.68	2267960	0	2267960	22.68
(e)	Any Other (specify)	57	909185			909185	9.09	909185	909185	9.09	
Sub-Total (B) (3)		1181	3486385			3486385	34.86	3486385	0	3486385	34.86
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1181	3486385			3486385	34.86	3486385	0	3486385	34.86
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1188	10000000			10000000	100	10000000	0	10000000	100
Total (A+B+C)		1188	10000000			10000000	100	10000000	0	10000000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				30.39	1200000	39.49			3038615
(d)				34.75	3475000	100			3475000
Sub-Total (A) (1)				65.14	4675000	71.77			6513615
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				65.14	4675000	71.77			6513615
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))	0	0	0	3.09	0	0			304610
(a(ii))	0	0	0	22.68	1050000	46.3			2267960
(c)				9.09	700000	76.99			909185
Sub-Total (B) (3)	0	0	0	34.86	1750000	50.2			3481755
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)	0	0	0	34.86	1750000	50.2			3481755
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )	0	0	0	100	6425000	64.25			9995370
Total (A+B+C )	0	0	0	100	6425000	64.25			9995370

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	SAMIR ROHITBHAI SHAH	MAHENDRABHAI GULABDAS PATEL	MUNJAL MAHENDRABHAI PATEL	SAGAR SAMIR SHAH	SHWETA SAMIRBHAI SHAH	<a href="#">Click here to go back</a>
PAN (II)	AQCPS6044E	ABIPP9463H	AFXPP7705F	CKLPS2759H	AQFPS5288K	Total
No. of fully paid up equity shares held (IV)	450000	371235	742505	450000	1024875	3038615
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	450000	371235	742505	450000	1024875	3038615
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.5	3.71	7.43	4.5	10.25	30.39
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	450000	371235	742505	450000	1024875	3038615
Class eg:y						
Total	450000	371235	742505	450000	1024875	3038615
Total as a % of Total Voting rights	4.5	3.71	7.43	4.5	10.25	30.39
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	4.5	3.71	7.43	4.5	10.25	30.39
Number of Locked in shares (XII)						
No. (a)	450000	0	0	450000	300000	1200000
As a % of total Shares held (b)	100	0	0	100	29.27	39.49
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	450000	371235	742505	450000	1024875	3038615
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter	Promoter	Promoter Group	Promoter	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	SERAPHIM VENTURES PRIVATE LIMITED	SWETSAM STOCK HOLDING PRIVATE LIMITED	
PAN (II)	ABECS1065M	AARCS7025H	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	2025000	1450000	3475000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2025000	1450000	3475000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.25	14.5	34.75
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	2025000	1450000	3475000
Class eg:y			
Total	2025000	1450000	3475000
Total as a % of Total Voting rights	20.25	14.5	34.75
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	20.25	14.5	34.75
Number of Locked in shares (XII)			
No. (a)	2025000	1450000	3475000
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	2025000	1450000	3475000
Reason for not providing PAN			
Reason for not providing PAN			



Shareholder type	Promoter Group	Promoter Group	
------------------	----------------	----------------	--

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	SANKET DEEPAK SHAH	PREMLATA RAMESH SARAOGI	URVISH VORA	PALLAVI URVISH VORA	<a href="#">Click here to go back</a>
PAN (II)	CMZPS2277Q	ASMPS2400E	ADAPV6219R	ABOPV6838Q	Total
No. of fully paid up equity shares held (IV)	350000	1000000	350000	350000	2050000
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	350000	1000000	350000	350000	2050000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.5	10	3.5	3.5	20.5
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	350000	1000000	350000	350000	2050000
Class eg:y					
Total	350000	1000000	350000	350000	2050000
Total as a % of Total Voting rights	3.5	10	3.5	3.5	20.5
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.5	10	3.5	3.5	20.5
<b>Number of Locked in shares (XII)</b>					
No. (a)	350000	0	350000	350000	1050000
As a % of total Shares held (b)	100	0	100	100	51.22
Number of equity shares held in dematerialized form (XIV)	350000	1000000	350000	350000	2050000
<b>Reason for not providing PAN</b>					
Reason for not providing PAN					

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	HUF	Non-Resident Indian (NRI)
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)		PARU SECURITIES PRIVATE LIMITED	BAKSHU TRADING PRIVATE LIMITED			
PAN (II)		AACCP3336R	AACCB9116B			
No. of the Shareholders (I)	6	1	1	4	45	2
No. of fully paid up equity shares held (IV)	705739	350000	350000	354	202442	650
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	705739	350000	350000	354	202442	650
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.06	3.5	3.5	0	2.02	0.01
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	705739	350000	350000	354	202442	650
Class eg:y						
Total	705739	350000	350000	354	202442	650
Total as a % of Total Voting rights	7.06	3.5	3.5	0	2.02	0.01
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	7.06	3.5	3.5	0	2.02	0.01
Number of Locked in shares (XII)						
No. (a)	700000	350000	350000	0	0	0
As a % of total Shares held (b)	99.19	100	100	0	0	0
Number of equity shares held in dematerialized form (XIV)	705739	350000	350000	354	202442	650
Reason for not providing PAN						
Reason for not providing PAN						

<b>Any Other (specify)</b>	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	57
No. of fully paid up equity shares held (IV)	909185
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	909185
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.09
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	909185
Class eg:y	
Total	909185
Total as a % of Total Voting rights	9.09
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	9.09
<b>Number of Locked in shares (XII)</b>	
No. (a)	700000
As a % of total Shares held (b)	76.99
Number of equity shares held in dematerialized form (XIV)	909185
<b>Reason for not providing PAN</b>	
Reason for not providing PAN	

## significant beneficial owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
1	SAGAR SAMIR SHAH	CKLPS2759H		India		SERAPHIM VENTURES PRIVATE LIMITED	ABECS1065M		India		20.25			Yes	Yes
<b>Total:</b>											<b>20.25</b>	<b>0</b>	<b>0</b>		
2	SAMIR ROHITBHAI SHAH	AQCPS6044E		India		SWETSAM STOCK HOLDING PRIVATE LIMITED	AARCS7025H		India		14.5			Yes	Yes
<b>Total:</b>											<b>14.5</b>	<b>0</b>	<b>0</b>		
3	PALLAVI URVISH VORA	ABOPV6838Q		India		PARU SECURITIES PRIVATE LIMITED	AACCP3336R		India		3.5			No	No
<b>Total:</b>											<b>3.5</b>	<b>0</b>	<b>0</b>		
4	URVISH RAMESHCHANDRA VORA	ADAPV6219R		India		BAKSHU TRADING PRIVATE LIMITED	AACCB9116B		India		3.5			No	No
<b>Total:</b>											<b>3.5</b>	<b>0</b>	<b>0</b>		
5	PALLAVI URVISH VORA	ABOPV6838Q		India		BAKSHU TRADING PRIVATE LIMITED	AACCB9116B		India		3.5			No	No
<b>Total:</b>											<b>10.5</b>	<b>0</b>	<b>0</b>		