

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company	<input type="text" value="L51900GJ1985PLC110976"/>	<input type="button" value="Pre-fill"/>						
Global Location Number (GLN) of the company	<input type="text"/>							
* Permanent Account Number (PAN) of the company	<input type="text" value="AAACK9697E"/>							
(ii) (a) Name of the company	<input type="text" value="SERA INVESTMENTS & FINANCI"/>							
(b) Registered office address	<input type="text" value="206, S.F. Ashirwad Paras 1, Near Kanti Bharwad PMT
Opposite Andaz Party Plot, Makarba
Ahmedabad
Ahmedabad
Gujarat
380051"/>							
(c) *e-mail ID of the company	<input type="text" value="shwetasamirshah1997@gmail."/>							
(d) *Telephone number with STD code	<input type="text" value="9998933378"/>							
(e) Website	<input type="text" value="www.kapashicommercial.com"/>							
(iii) Date of Incorporation	<input type="text" value="11/09/1985"/>							
(iv)	<table border="1"><thead><tr><th>Type of the Company</th><th>Category of the Company</th><th>Sub-category of the Company</th></tr></thead><tbody><tr><td>Public Company</td><td>Company limited by shares</td><td>Indian Non-Government company</td></tr></tbody></table>	Type of the Company	Category of the Company	Sub-category of the Company	Public Company	Company limited by shares	Indian Non-Government company	
Type of the Company	Category of the Company	Sub-category of the Company						
Public Company	Company limited by shares	Indian Non-Government company						
(v) Whether company is having share capital	<input checked="" type="radio"/> Yes <input type="radio"/> No							
(vi) *Whether shares listed on recognized Stock Exchange(s)	<input checked="" type="radio"/> Yes <input type="radio"/> No							

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U67120MH1993PTC074079

Pre-fill

Name of the Registrar and Transfer Agent

PURVA SHAREGISTRY (INDIA) PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

9, SHIV SHAKTI INDUSTRIAL ESTATE, J.R.BORICHA MARG
LOWER PAREL (EAST)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K5	Financial and Credit leasing activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	10,000,000	5,000,000	5,000,000	5,000,000
Total amount of equity shares (in Rupees)	100,000,000	50,000,000	50,000,000	50,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				
Number of equity shares	10,000,000	5,000,000	5,000,000	5,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	100,000,000	50,000,000	50,000,000	50,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	4,630	4,995,370	5000000	50,000,000	50,000,000	

Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				0	0	
<input type="text" value="0"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
<input type="text" value="0"/>						
At the end of the year	4,630	4,995,370	5000000	50,000,000	50,000,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
<input type="text" value="0"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
0						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable

Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
Date of registration of transfer (Date Month Year)	<input type="text"/>
Type of transfer	<input type="text"/> 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	<input type="text"/> Amount per Share/ Debenture/Unit (in Rs.) <input type="text"/>

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

30,501,000

(ii) Net worth of the Company

116,798,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,038,615	60.77	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	225,000	4.5	0	
10.	Others 0	0	0	0	
	Total	3,263,615	65.27	0	0

Total number of shareholders (promoters)

6

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	719,902	14.4	0	
	(ii) Non-resident Indian (NRI)	900	0.02	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,000,100	20	0	
10.	Others LLP, Clearing Members	15,483	0.31	0	
	Total	1,736,385	34.73	0	0

Total number of shareholders (other than promoters)

2,150

**Total number of shareholders (Promoters+Public/
Other than promoters)**

2,156

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	5	6
Members (other than promoters)	514	2,150
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	1	2	1	29.49	14.85
B. Non-Promoter	0	2	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	1	3	2	4	29.49	14.85

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SHWETA SAMIR SHAH	03082967	Managing Director	1,024,875	
SAGAR SAMIR SHAH	03082957	Whole-time director	450,000	
VIPUL SHETH	01644188	Director	0	
MUNJAL MAHENDRAB	02319308	Director	742,505	
JAYESHKUMAR RASIK	08218502	Director	0	
MANISHKUMAR INDRA	08516146	Director	0	16/06/2022
VIRAJ SAMIRBHAI SHAH	EVOPS9353B	CFO	0	
PINAJ JAIN	BLEPJ1838D	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SAGAR SAMIR SHAH	03082957	Additional director	14/06/2021	APPOINTMENT
SAGAR SAMIR SHAH	03082957	Whole-time director	23/09/2021	CHANGE IN DESIGNATION
BHUSHAN VIJAY SINGH	05142642	Additional director	14/06/2021	APPOINTMENT
BHUSHAN VIJAY SINGH	05142642	Additional director	07/08/2021	CESSATION
VIPUL SHETH	01644188	Additional director	07/08/2021	APPOINTMENT
VIPUL SHETH	01644188	Director	23/09/2021	CHANGE IN DESIGNATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	23/09/2021	511	14	85.74

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	08/04/2021	4	4	100
2	14/06/2021	6	6	100
3	07/08/2021	6	5	83.33
4	27/10/2021	6	6	100
5	18/01/2022	6	5	83.33
6	17/02/2022	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

9

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	08/04/2021	3	3	100
2	AUDIT COMM	14/06/2021	3	3	100
3	AUDIT COMM	07/08/2021	3	3	100
4	AUDIT COMM	27/10/2021	3	3	100
5	AUDIT COMM	18/01/2022	3	3	100
6	NOMINATION	14/06/2021	3	3	100
7	NOMINATION	07/08/2021	3	2	66.67
8	NOMINATION	17/02/2022	3	3	100
9	STAKEHOLDE	17/02/2022	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	13/09/2022
								(Y/N/NA)
1	SHWETA SAMI	6	6	100	1	1	100	Yes
2	SAGAR SAMI	5	5	100	5	5	100	Yes
3	VIPUL SHETH	4	4	100	5	5	100	No
4	MUNJAL MAHAPATRA	6	5	83.33	3	2	66.67	Yes
5	JAYESHKUMAR	6	5	83.33	3	3	100	No
6	MANISHKUMAR	6	6	100	9	9	100	Not Applicable

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PINAJ JAIN	COMPANY SEC	144,000	0	0	0	144,000
	Total		144,000	0	0	0	144,000

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

VISHWAS SHARMA

Whether associate or fellow

Associate Fellow

Certificate of practice number

16942

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

SAGAR
SAMIR
SHAH
Digitally signed by
SAGAR SAMIR SHAH
Date: 2022.11.12
16:02:52 +05'30'

DIN of the director

To be digitally signed by

VISHWASKUMAR
R.
ASHOKKUMAR
SHARMA
Digitally signed by
VISHWASKUMAR
ASHOKKUMAR
SHARMA
Date: 2022.11.12
16:00:59 +05'30'

- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

Attach

Attach

Attach

Attach

Form MGT-8 alongwith Annexure A.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of Sera Investments & Finance India Limited (Formerly Known as **Kapashi Commercials Limited**) (CIN: L51900GJ1985PLC110976) ("the Company") as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on March 31, 2022. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed there for;
3. Filling of forms and returns that are required to be filed during the year with the Registrar of Companies within/beyond the prescribed time as detailed in "Annexure A" of this Certificate. There is no instance of filing of Forms and Returns with Regional Director, Central Government, the Tribunal, Court or other authorities have been filed.
4. Calling/ convening and holding meetings of Board of Directors, its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minute Book and registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members during the financial year 2021-22;
6. The Company has not advanced loans to its directors and/or persons or firms or companies in which directors were interested as referred in section 185 of the Companies Act, 2013 during the financial year 2021-22;
7. There are no instance of contracts/arrangements with related parties as specified in section 188 of the Companies Act, 2013 during the financial year 2021-22;
8. There is no such instance of issue or allotment or transfer or transmission or buy back of securities/ redemption of Preference Shares or Debentures/ Alteration or Reduction of Share Capital/ conversion of shares/ securities and issue of security certificates in all instances during the financial year 2021-22;
9. There is no instance of keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act during the financial year 2021-22;

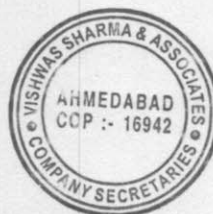


10. There is no instance of declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Companies Act, 2013 during the financial year 2021-22;
11. Signing of Audited Financial Statements as per the provisions of section 134 of the Companies Act, 2013 and report of directors is as per sub - sections (3), (4) and (5) thereof during the financial year 2021-22;
12. Constitution/Re-appointment/retirement/Cessation/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them during the financial year 2021-22;
13. There is no instance of appointment/reappointment/filling up casual vacancies of auditors as per provisions of Section 139 of the Companies Act, 2013 during the financial year 2021-22;
14. There is no such instance where approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act during the financial year 2021-22;
15. There is no such instances of acceptance/ renewal/ repayment of deposits during the financial year 2021-22;
16. borrowings from its directors and others, however there is no such instance of borrowings from members, public financial institutions, banks and creation/ modification/ satisfaction of charges in that respect during the financial year 2021-22;
17. The Provisions of Section 186 of the Act relating to Loans and Investments or guarantees given or providing of securities to other bodies corporate or persons are not applicable to the Company, as the Company is a registered NBFC Company during the financial year 2021-22;
18. There is no such instance of Alteration of the provisions of the Articles of Association of the Company during the financial year 2021-22. However, the Company has altered Memorandum of Association for change of name and addition of more objects relating to NBFC activities in addition of the existing main object of MOA of the Company through Postal Ballot with effect from March 27, 2022;

Place: Ahmedabad

Date: November 11, 2022

For, Vishwas Sharma & Associates
Company Secretaries



Sharma

Mr. Vishwas Sharma
Proprietor

Membership No. 33017

COP No. 16942

UDIN: A033017D001657145

Annexure A

Details of events/matters in respect of which the Company was liable to file returns or comply with requisite provisions of the Companies Act and rules made there under during the Financial Year ending on **March 31, 2022**.

Sr. No	Description of the event/matter	Date of the event	Section	Due date for filing compliance	Date of filing SRN	Concerned Authority (ROC/NCLT /Court)	Reasons for delay if any
1.	FORM AOC-4 NBFC Form for filing consolidated financial statements and other documents with Registrar for NBFCs	September 23, 2021	137	October 22, 2021	T54854195 October 19, 2021	ROC	-
2.	FORM MGT-7 Annual Return	September 23, 2021	92	November 22, 2021	T89826168 March 22, 2022	ROC	-
3.	FORM MGT-14 Filing of Resolutions and agreements to the Registrar (Approval of Annual Accounts as on March, 2021 and Appointment of Mr. Sagar Samir Shah as an Additional Director under the category of Whole-Time Director and Bhushan Gajaria as an Additional Director under the category of Independent Director)	June 14, 2021	117, 137, 149, 152	July 13, 2021	T30703508 July 13, 2021	ROC	-
4.	FORM NO. MGT-14 Filing of Resolutions and agreements to the Registrar	September 23, 2021	117, 137, 152, 149	October 22, 2021	T53576948 October 08, 2021	ROC	-



	(Approval of AGM Resolution)						
5.	FORM NO. MGT-15 Form for filing Report on Annual General Meeting	September 23, 2021	121	October 22, 2021	T53384384 October 07, 2021	ROC	-
6.	FORM DIR-12 Form for Appointment and Cessation of Directors and Key Managerial Personnel (Appointment of Sagar Samir Shah as Whole-Time Director and Vipul Shirish Sheth as Independent Director)	September 23, 2021	149, 152	October 22, 2021	T53385548 October 07, 2021	ROC	-
7.	FORM DIR-12 Form for Appointment and Cessation of Directors and Key Managerial Personnel (Appointment of Bhushan Gajaria as an Additional Director under the category of Independent Director)	June 14, 2021	149, 152	June 13, 2021	T34021618 August 04, 2021	ROC	-
8.	FORM DIR-12 Form for Appointment and Cessation of Directors and Key Managerial Personnel (Appointment of Sagar Samir Shah as an Additional Director under the	June 14, 2021	149, 152	July 13, 2021	T30695712 July 13, 2021	ROC	-



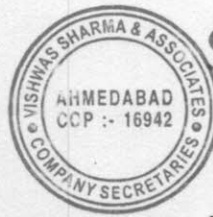
	category of Whole-Time Director)						
9.	FORM DIR-12 Form for Appointment and Cessation of Directors and Key Managerial Personnel (Appointment of Vipul Shirish Sheth as an Additional Director under the category of Independent Director)	August 07, 2021	149, 152	August 05, 2021	T37651569 August 26, 2021	ROC	-
10.	FORM MGT-14 Filing of Resolutions and agreements to the Registrar (Approval of Members for Change of Main Object, Change of Name and Preferential issue of warrants of the Company)	March 27, 2022	117, 13, 62	March 25, 2022	T95123824 April 12, 2022	ROC	-
11.	FORM MGT-14 Filing of Resolutions and agreements to the Registrar (Approval of Board Report and Appointment of Mr. Vipul Shirish Sheth as an Additional Director under the category of Independent Director and Resignation of Mr. Bhushan Gajaria as an Independent Director)	August 07, 2021	117, 134, 149	September 05, 2021	T37650512 August 26, 2021	ROC	-



12.	FORM MR-1 Filing of Resolutions and agreements to the Registrar (Return of Mr. Sagar Samir Shah as Whole-Time Director)	June 2021	14,	196	August 2021	13,	T36632529 August 20, 2021	ROC	Due to Inadvertance
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Place: Ahmedabad
Date: November 11, 2022

For, Vishwas Sharma & Associates
Company Secretaries



Sharnu Vishwas

Mr. Vishwas Sharma
Proprietor
Membership No. : 33017
COP No. 16942
UDIN: A033017D001657145